

Woodlands Financial Services Dealer Application

Required Documents:

- Personal Financial Statements of Owner(s) showing balance sheet & cash flow (for non-corporations only)
- Personal Tax Return last two years (for non-corporations only)
- Current Business Financial Statements
- Business Tax Returns last two years
- Corporation Registration from State
- DBA Registration from County (if applicable)
- Current Dealer License
- Current Motor Vehicle Sales Finance License
- Current Surety Bond
- W-9
- Copy of Texas Driver's License for Principal Owners Only
- Copy of voided check – if dealer wants to have funding set-up via wire transfer
- Commercial Liability Insurance (See Attachment A for Insurance Requirements)
- Umbrella Insurance Coverage (See Attachment A for Insurance Requirements)
- Only True Daily Earnings installment retail installment contracts accepted. (Must be approved for use by OCCC)
- Dealer is aware that portfolio will be monitored and enforced by Collateral Protection Insurance

Legal Name of Business:

Trade Name or d.b.a: _____ Year Started: _____

Federal Tax ID # _____ Dealer P# _____ Finance License # _____

Address: _____

City: _____ State: _____ Zip: _____

Phone: _____ Cell: _____ FAX: _____ Email: _____

Type of Ownership: C-Corporation () S-Corporation () Partnership () Sole Proprietorship or LLC ()

1st Principal Owner Info:

Name: _____

Address: _____

City: _____ State: _____ Zip: _____

Years There: _____ Own () Rent ()

Phone: _____ Cell: _____ % Ownership _____

Social Security # _____ Driver's License # _____ State _____

Date of Birth: _____

I attest, under penalty of perjury, that I am (check one of the following boxes):

- A citizen of the United States
- A noncitizen national of the United States
- A lawful permanent resident
- An alien authorized to work until (expiration date, if applicable, mm/dd/yyyy): _____

Email: _____

Have you declared business or personal bankruptcy in the past? Yes () No ()

2nd Principal Owner Info:

Name: _____

Address: _____

City: _____ State: _____ Zip: _____

Years There: _____ Own () Rent ()

Phone: _____ Cell: _____ % Ownership _____

Social Security # _____ Driver's License # _____ State _____

Date of Birth: _____

I attest, under penalty of perjury, that I am (check one of the following boxes):

- A citizen of the United States
- A noncitizen national of the United States
- A lawful permanent resident
- An alien authorized to work until (expiration date, if applicable, mm/dd/yyyy): _____

Email: _____

Have you declared business or personal bankruptcy in the past? Yes () No ()

Management / Persons Authorized to contact WFSI:

A.) Name: _____

Address: _____

City: _____ State: _____ Zip: _____

Phone: _____ Cell: _____

Social Security # _____ Driver's License # _____ State _____

Date of Birth: _____

Email: _____

Management / Persons Authorized to contact WFSI (Continued)

B.) Name: _____

Address: _____

City: _____ State: _____ Zip: _____

Phone: _____ Cell: _____

Social Security # _____ Driver's License # _____ State _____

Date of Birth: _____

Email: _____

Primary Business Bank:

Bank/Branch: _____

Bank Contact: _____

Phone: _____

The above information supplied in the above Dealer Qualification is true and correct to the best of my/our knowledge and belief. I/we hereby authorize Woodlands Financial Services to investigate all the information, including the Dealer and Principal/Owner financial condition, credit, background, status and activities. I/we grant Woodlands Financial Services the right to obtain any and all credit/background reports on Dealer and Owners/Principals and to contact all individuals and entities identified in this Dealer Qualification and/or in the credit/background reports, including but not limited to Floor Plan Lenders, Trade References, Banks, Auctions, Mortgage Lenders, and landlords. All information obtained by Woodlands Financial Services shall be used for commercial purposes only and not for consumer financing purposes. By providing the information requested by Woodlands Financial Services, Dealer is applying for participation in Funding or Dealer Finance program but Dealer is not approved by Woodlands Financial Services until Dealer receives from Woodlands Financial Services written notice of approval of Dealer for participation. Woodlands Financial Services may grant, deny and/or terminate participation in the Funding or Dealer Finance Program at any time in its sole discretion.

Signed by: _____ Title: _____ Date: _____

Signed by: _____ Title: _____ Date: _____

**Woodlands Financial Services
Dealer Insurance Requirements
Attachment A**

1 COVERAGES

GENERAL LIABILITY (coverage for the business itself) **\$1,000,000**
--Commercial General Liability/Broad Form Garage

AUTOMOBILE LIABILITY (coverage for vehicle) **\$1,000,000**
--Any Auto

GARAGE LIABILITY **\$1,000,000**
--Any Auto

Under OTHER

Dealer's Open Lot/Auto Inventory (each auto) Min. \$100,000

*If additional locations, they must specify addresses.

**EXCESS UMBRELLA LIABILITY –not a requirement
(retention=deductible)

We must be listed as **CERTIFICATE HOLDER**.

We must be listed as **ADDITIONAL INSURED***

2 RATING

AM BEST INSURANCE www.ambest.com

B+ or better

Minimum size of VII. (This means they have at least \$50,000,000 of policyholder's surplus)